



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re U.S. Patent Application of )  
)  
SATO et al. ) **Art Unit: 2878**  
)  
Application Number: 10/756,402 )  
)  
Filed: January 14, 2004 )  
)  
For: WAVELENGTH TUNABLE DBR LASER DIODE )  
)  
ATTORNEY DOCKET NO. NITT.0176 )

**Honorable Assistant Commissioner  
for Patents  
Washington, D.C. 20231**

**LETTER**

Sir:

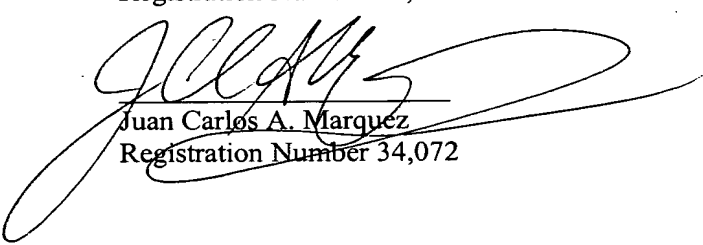
The below-identified communications are submitted in the above-captioned application or proceeding:

- (x) Information Disclosure Statement w/PT Form 1449 & References  
( ) Check for \$ N/A

- ☒ The Commissioner is hereby authorized to charge payment of any fees associated with this communication, including fees under 37 C.F.R. § 1.16 and 1.17 or credit any overpayment to **Deposit Account Number 08-1480**. A duplicate copy of this sheet is attached.

Respectfully submitted,

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May 7, 2004



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**INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97, this Information Disclosure Statement is submitted in the above-identified patent application. A listing of documents to be published on the face of any patent granted from this application is submitted herewith on Form PTO-1449. Any other documents or information submitted for consideration by the Examiner are listed in this paper. A copy of each U.S. and foreign patent, or each publication or portion thereof listed or herein identified, submitted herewith.

**CERTIFICATION**

This Information Disclosure Statement is submitted after three months of the filing date but prior to the mailing date of the first Office Action of the above-captioned U.S. patent application. Accordingly, no fee is due or payable at this time.

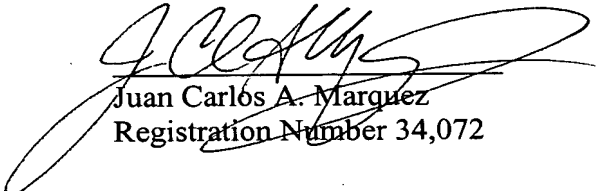
The Examiner is requested to acknowledge consideration of the information provided in this paper in accordance with prescribed procedures.

Please charge any additional fees or credit any overpayments in connection with this paper to Deposit Account No. 08-1480.

Respectfully submitted,

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Form PTO 1449  U.S. Department of Commerce Patent and Trademark Office  Information Disclosure Statement by Applicant	ATTY. DOCKET NUMBER	SERIAL NUMBER
	NITT.0176	10/756,402
	APPLICANT	
	SATO et al.	
	FILING DATE	GROUP
	January 14, 2004	

## U.S. Patent Documents

Examiner Initial		DOCUMENT NUMBER	DATE	NAME	CLA SS	SUBC LASS	FILING DATE

## Foreign Patent Documents

Examiner Initial		DOCUMENT NUMBER	FILING DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
							YES	NO

## Other Documents (Including Author, Title, Date Pertinent Pages, Etc.)

		H. Hatakeyama et al, "Simultaneously Fabricated Wavelength-Selectable Microarray Light Sources for Covering the Entire S- C- and L- Bands", 2001 Electronics Society Meeting of Electronic Information Communication Society No. c-4-3, p. 241
		H. Hatakeyama, et al, "Wavelength-Selectable Microarray Light Sources for S-, C-, and L-Band WDM Systems", IEEE Photonics Technology Letters, Vol. 15, No. 7, July 2003, pp. 903-905
		K. Kudo, "Wavelength-selectable microarray light sources of multiple ranges simultaneously fabricated on single wafer", Electronics Letters, Vol. 36, April 13, 2000, pp 745-747
EXAMINER		DATE CONSIDERED
EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP 609; draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant		

PTO1449